



MAPLE LEAF CLINIC

Director - Dean J.M. Mooney, Ph.D., NCSP

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Wallingford, Vermont 05773
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Ajay V. Shah, M.S. Professional Disclosure

Formal Education:

College of St. Joseph Rutland, Vermont Master of Science - Clinical Psychology	August 2008 – May 2011
Rutgers University New Brunswick, New Jersey Bachelor of Arts Degree - Psychology	August 2002 – May 2007

Training and Continuing Education:

Training Title: *Clinical Assessments Via Telehealth Applications*
Dates: March 25, 2020
Location: Online
Subject/Content: Learn practical, ethical, and clinical applications to evaluations by telehealth
Completed: Yes
Credential Awarded (if any): N/A

Training Title: *Columbia Suicide Severity Risk Screening*
Dates: August 24, 2017
Location: Rutland Regional Medical Center
Subject/Content: Training in the administration of the short and long forms of the C-SSRS
Completed: Yes
Credential Awarded (if any): N/A

Training Title: *Team Two*
Dates: September 24, 2014
Location: Rutland Mental Health Services, Rutland, Vermont
Trainer/Training Institution: Kristin Chandler, JD
Subject/Content: Develop a relationship with local law enforcement and education on mental health laws and police barriers to providing treatment
Completed: Yes
Credential Awarded (if any): N/A

Training Title: The Vermont Suicide Prevention Symposium
Dates: May 28, 2014
Location: The Killington Grand Hotel, Killington, Vermont
Trainer/Training Institution: Suicide Prevention Center
Subject/Content: Trainings Attended: *Contemporary Developments in Clinical Suicide Prevention (David Jobes, Ph.D)*; *The Collaborative Assessment and Management of Suicidality (CAMS) (David Jobes, Ph.D)*; *Suicide Prevention – A Comprehensive Approach Across Schools and Communities (Joellen Tarallo-Falk, Ed.D., MCHES)*; *A Comprehensive Understanding of Addictions and its Central Role in Suicide Prevention (Max Banilivy, Ph.D)*; and *Suicide Prevention in Vermont and the Vermont Platform for Suicide Prevention (Joellen Tarallo-Falk, Ed.D., MCHES)*

Completed: Yes
Credential Awarded (if any): Continuing Education Credits/Units*; Certification in using the CAMS approach developed by David Jobes, Ph.D

Training Title: *Alternatives for Pain Management*
Dates: March 5, 2014
Location: Telehealth Training via Fletcher Allen Health Care (now known at University of Vermont Health Center), Burlington, Vermont
Trainer/Training Institution: Brian, Erickson, MD, through Learning Locally
Subject/Content: Addressing pain through a bio-psycho-social approach via augmenting opioid strategies, psychotherapy, and various alternative modalities

Completed: Yes
Credential Awarded (if any): N/A

Training Title: *Legal Issues in Involuntary Psychiatric Care*
Dates: January 27, 2014
Location: Clara Martin Center, Randolph, Vermont
Trainer/Training Institution: Dena Monahan, JD
Subject/Content: Recertification for Qualified Mental Health Professional; Understand legal barriers to involuntary hospitalizations including Emergency Examinations and mental health warrants

Completed: Yes
Credential Awarded (if any): Qualified Mental Health Professional (QMHP) certifications

Training Title: *Emergency Mental Health: Assessment and Treatment*
Dates: May 15, 2013
Location: The Holiday Inn, Burlington, Vermont
Trainer/Training Institution: Cross Country Education
Subject/Content: Training in assessing and treating community members in a mental health crisis

Completed: Yes
Credential Awarded (if any): Continuing Education Credits/Units*

Training Title: *Risk Assessment for Violence*
Dates: April 26, 2013
Location: The Brattleboro Retreat, Brattleboro, Vermont
Trainer/Training Institution: Phillip J. Resnick, MD
Subject/Content: Understanding relative risk of violence in schizophrenia, bipolar disorder, and substance abuse

Completed: Yes
Credential Awarded (if any): Continuing Education Credits/Units*

Training Title: *Trauma, Treatment, and Recovery*
Dates: March 7, 2013
Location: Online via Washington County Mental Health, Montpelier, Vermont
Trainer/Training Institution: Margaret Joyal, MA
Subject/Content: The role of trauma in psychopathology
Completed: Yes
Credential Awarded (if any): Continuing Education Credits/Units*

*Note: Although I was awarded certificates of participation that qualified for Continuing education credits, these credits were not applicable because I am not licensed.

Practice Experience:

- 02/2020-Present **Rutland Mental Health**, 7 Court Square, Rutland, VT 05701
Intake Clinician
 Conduct adult clinical assessments and determine eligibility for adult based mental health programs.
Supervisors: Kate Schirmer-Smith, LCMHC
- 08/2016 - 12/2019 **College of St. Joseph**, 71 Clement Road, Rutland, Vermont 05701
Adjunct Professor
 Teaching graduate level Psychopharmacology and Professional Development
Supervisors: Michael Kessler, Ph.D
- 06/2014 - Current **Maple Leaf Clinic**, 167 North Main St., Wallingford, Vermont 05773
Clinician
 Conduct Comprehensive Psychological Evaluations as requested by Disability Determination Services of Vermont; Provide individual counseling
Supervisor: Dean J.M. Mooney, Ph.D., NCSP
 Licensed Clinical Psychologist- Doctorate
 Licensed School Psychologist - Vermont
 Nationally Certified School Psychologist
- 07/2011 - Current **Rutland Mental Health Services**, 78 South Main Street Rutland, Vermont 05701
Crisis Clinician
 Provide mental health crisis evaluations for Rutland County residents. Partner with the police department as part of the mobile crisis team. Educate the community about mental illness and appropriate responses to a mental health crisis
Supervisor: Michael O'Brien, MA
- 08/2010 – 05/2011 **The College of St. Joseph**, 71 Clement Road Rutland, Vermont 05701
Clinician, Student Intern
 Provide individual therapy. Responsible for coordinating Wellness events.
Supervisor: Mark Gagnon, LICSW, LADC

Supervisor: Dr. Craig Knapp, Ph.D.
Chairman – Division of Psychology and Human Services
College of St. Joseph – Rutland, VT
Licensed Clinical Psychologist- Doctorate
Licensed School Psychologist – Vermont

10/2008 – 07/2011

Rutland Mental Health Services, 78 South Main Street Rutland,
Vermont 05701
Crisis Recovery Specialist
Assist up to two clients in minimizing symptoms of acute psychiatric
crisis. Provide supportive counseling
Supervisor: Suzy Anderson, MA

08/2009 – 05/2010

Bennington School Inc. - (Boys Campus), 192 Fairview Street
Bennington, Vermont 05201
Student Intern; Practicum
Provide support for two adolescents. Prepare initial assessments and
discharge summaries. Developed and co-facilitated a stress management
group

Scope of Practice:

Therapeutic Orientation: Integrative
Specialization: Treatment of clients with high suicide risk
Assessment of clients with high risk of violence and suicide risk
Treatment for clients with co-occurring disorders (dual diagnosis) *
Methods: Utilizing an integrative approach to psychotherapy

*Note: I am not certified or licensed as a Drug and Alcohol Counselor

Information Concerning Unprofessional Conduct for the Profession follows.

Office of Professional Regulation Notice

The Office of Professional Regulation provides Vermont licenses, certifications, and registrations for over 56,000 practitioners and businesses. Forty-six professions and occupations are supported and managed by this office. A list of professions regulated is found below.

Each profession or occupation is governed by laws defining professional conduct. Consumers who have inquiries or wish to obtain a form to register a complaint may do so by calling (802) 828-1505, or by writing to the Director of the Office, Secretary, VT 05620-3402.

Upon receipt of a complaint, an administrative review determines if the issues raised are covered by the applicable professional conduct statute. If so, a committee is assigned to investigate, collect information, and recommend action or closure to the appropriate governing body.

All complaint investigations are confidential. Should the investigation conclude with a decision for disciplinary action against a professional's license and ability to practice, the name of the license holder will then be made public.

Complaint investigations focus on licensure and fitness of the licensee to practice. Disciplinary action, when warranted, ranges from warning to revocation of license, based on the circumstances. You should not expect a return of fees paid or additional unpaid services as part of the results of this process. If you seek restitution of this nature, consider consulting with the Consumer Protection Division of the Office of the Attorney General, retaining an attorney, or filing a case in Small Claims Court.

Accountancy Acupuncture
Architects
Athletic Trainers Auctioneers
Audiologists
Barbers & Cosmetologists Boxing Control Chiropractic
Dental Examiners
Dietitians
Drug and Alcohol Counselor Electrolysis
Professional Engineering
Funeral Service
Hearing Aid Dispensers
Land Surveyors
Landscape Architects
Marriage & Family Therapists Clinical Mental Health Counselors Midwives, Licensed
Motor Vehicle Racing
Naturopaths
Nursing
Nursing Home Administrators
Occupational Therapists Opticians
Optometry
Osteopathic Physicians and Surgeons
Pharmacy
Physical Therapists
Private Investigative & Security Services
Property Inspectors Psychoanalyst Psychology
Psychotherapist, Non-licensed Radiologic Technology
Real Estate Appraisers Real Estate
Respiratory Care
Social Workers, Clinical
Tattooists
Veterinary

Chapter 78: Roster of Psychotherapists Who Are Nonlicensed § 4090. Disclosure of Information

Updated 06/12/2020

The board shall adopt rules requiring persons entered on the roster to disclose to each client the psychotherapist's professional qualifications and experience, those actions that constitute unprofessional conduct, and the method for filing a complaint or making a consumer inquiry, and provisions relating to the manner in which the information shall be displayed and signed by both the rostered psychotherapist and the client. The rules may include provisions for applying or modifying these requirements in cases involving institutionalized clients, minors and adults under the supervision of a guardian.

[The Vermont Statutes Online](#)

Title 3: Executive

Chapter 5: SECRETARY OF STATE Sub-Chapter 3: Professional Regulation 3 V.S.A. § 129a. **Unprofessional conduct**

(a) In addition to any other provision of law, the following conduct by a licensee constitutes unprofessional conduct. When that conduct is by an applicant or person who later becomes an applicant, it may constitute grounds for denial of a license or other disciplinary action. Any one of the following items, or any combination of items, whether or not the conduct at issue was committed within or outside the State, shall constitute unprofessional conduct:

- (1) Fraudulent or deceptive procurement or use of a license.
- (2) Advertising that is intended or has a tendency to deceive.
- (3) Failing to comply with provisions of federal or state statutes or rules governing the practice of the profession.
- (4) Failing to comply with an order of the board or violating any term or condition of a license restricted by the board.
- (5) Practicing the profession when medically or psychologically unfit to do so.

- (6) Delegating professional responsibilities to a person whom the licensed professional knows, or has reason to know, is not qualified by training, experience, education, or licensing credentials to perform them, or knowingly providing professional supervision or serving as a preceptor to a person who has not been licensed or registered as required by the laws of that person's profession.

- (7) Willfully making or filing false reports or records in the practice of the profession; willfully impeding or obstructing the proper making or filing of reports or records or willfully failing to file the proper reports or records.

- (8) Failing to make available promptly to a person using professional health care services, that person's representative, or succeeding health care professionals or institutions, upon written request and direction of the person using professional health care services, copies of that person's records in the possession or under the control of the licensed practitioner, or failing to notify patients or clients how to obtain their records when a practice closes.

- (9) Failing to retain client records for a period of seven years, unless laws specific to the profession allow for a shorter retention period. When other laws or agency rules require retention for a longer period of time, the longer retention period shall apply.

- (10) Conviction of a crime related to the practice of the profession or conviction of a felony, whether or not related to the practice of the profession.

- (11) Failing to report to the office a conviction of any felony or any offense related to the practice of the profession in a Vermont District Court, a Vermont Superior Court, a federal court, or a court outside Vermont within 30 days.

- (12) Exercising undue influence on or taking improper advantage of a person using professional services, or promoting the sale of services or goods in a manner which exploits a person for the financial gain of the practitioner or a third party.

- (13) Performing treatments or providing services which the licensee is not qualified to perform or which are beyond the scope of the licensee's education, training, capabilities, experience, or scope of practice.

- (14) Failing to report to the office within 30 days a change of name or address.

(15) Failing to exercise independent professional judgment in the performance of licensed activities when that judgment is necessary to avoid action repugnant to the obligations of the profession.

(b) Failure to practice competently by reason of any cause on a single occasion or on multiple occasions may constitute unprofessional conduct, whether actual injury to a client, patient, or customer has occurred. Failure to practice competently includes:

(1) performance of unsafe or unacceptable patient or client care; or

(2) failure to conform to the essential standards of acceptable and prevailing practice.

(c) The burden of proof in a disciplinary action shall be on the State to show by a preponderance of the evidence that the person has engaged in unprofessional conduct.

(d) After hearing, and upon a finding of unprofessional conduct, a board or an administrative law officer may take disciplinary action against a licensee or applicant, including imposing an administrative penalty not to exceed \$1,000.00 for each unprofessional conduct violation. Any money received under this subsection shall be deposited in the Professional Regulatory Fee Fund established in section 124 of this title for the purpose of providing education and training for board members and advisor appointees. The Director shall detail in the annual report receipts and expenses from money received under this subsection.

(e) In the case where a standard of unprofessional conduct as set forth in this section conflicts with a standard set forth in a specific board's statute or rule, the standard that is most protective of the public shall govern. (Added 1997, No. 40, § 5; amended 2001, No. 151 (Adj. Sess.), § 2, eff. June 27, 2002; 2003, No. 60, § 2; 2005, No. 27, § 5; 2005, No. 148 (Adj. Sess.), § 4; 2009, No. 35, § 2; 2011, No. 66, § 3, eff. June 1, 2011; 2011, No. 116 (Adj. Sess.), § 5.)

§ 4093. Unprofessional conduct

(a) Unprofessional conduct means the following conduct and conduct set forth in section 129a of Title 3: (1) Providing fraudulent or deceptive information in an application for entry on the roster.

(2) Conviction of a crime that evinces an unfitness to practice psychotherapy.

(3) Unauthorized use of a protected title in professional activity.

(4) Conduct which evidences moral unfitness to practice psychotherapy.

(5) Engaging in any sexual conduct with a client, or with the immediate family member of a client, with whom the psychotherapist has had a professional relationship within the previous two years.

(6) Harassing, intimidating or abusing a client.

(7) Entering into an additional relationship with a client, supervisee, research participant or student that might impair the psychotherapist's objectivity or otherwise interfere with his or her professional obligations.

(8) Practicing outside or beyond a psychotherapist's area of training, experience or competence without appropriate supervision.

(b) After hearing, and upon a finding of unprofessional conduct, the board may take disciplinary action against a rostered psychotherapist or an applicant. (Added 1993, No. 222 (Adj. Sess.), § 17; amended 1997, No. 40, § 71; 1997, No. 145 (Adj. Sess.), § 61; 1999, No. 52, § 37.)

CLIENT’S DISCLOSURE CONFIRMATION

My signature acknowledges that I have been given the professional qualifications and experience of Ajay V. Shah, a list of actions that constitutes unprofessional conduct according to Vermont Statutes, and the method for making a consumer inquiry or filing a complaint with the Office of Professional Regulation.

Client Signature

Date

Practitioner’s Signature

Date